

Asgard Asset Management

Onboarding Guide to sub-funds of the Asgard Fund ICAV

Asgard Asset Management, July 2025

Timeline

Trade date is the first business day of the month. Deadline for subscriptions are two business days prior to the trade date.

We encourage new investors to start the onboarding process at least two weeks in advance of the trade date as AML/KYC documentation needs may involve extra efforts.

Once the application form is accepted by the Fund Administrator (SS&C GlobeOp) and monies are wired, the Fund Administrator will issue a receipt.

Once the trade price is established – typically around business day 8 – the official trade ticket is sent. By that date the new investment will be visible on the Fund Administrator Portal. Access to that portal is granted by the Fund Administrator following the acceptance of the application form.

AML/KYC Documentation

The sub-fund is subject to Irish/European AML/KYC regulation. Accordingly, several documents are needed to satisfy such needs. Depending on the investor type different levels and types of documentation are needed. Exactly what documentation is needed is clearly stated in Appendix I of the Application Form.

Money transfer

Monies must be received by the fund no later than two business days prior to the trade date (first business day of the month). Monies should therefore be wired at least five days prior to the trade date.

Application Form

The Application Form consists of several building blocks each serving a specific purpose:

- General information in the first section must be completed and signed to record basic information about the investor and the intended investment.
- “Terms and conditions” and “Declarations, Representations and Warranties” are information about fund terms and (among others) stating the professionalism of the investor. This section needs investor signature(s).
- AML/KYC (Appendix I): A repeat of some of the information from the first section in addition to listing the documentation needed. This section also needs investor signature(s).
- Declaration on own behalf or as intermediary – must be completed and signed.
- Ultimate beneficial Ownership Declaration – should only be completed by non-individual investors.
- Tax certification (Appendix II): The fund must record tax information about the investor. This information is collected in Appendix II. All investors must complete and sign this section.
- Finally, Appendix III is a declaration of residence outside of Ireland. Non-Irish investors should only complete one page. This section also needs investor signature(s).

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The Application form contains detailed guidance for the more complex sections (Appendix I and Appendix II).

For additional information or guidance please reach out to Asgard Asset Management A/S:

info@asgardam.com

Or directly to SS&C Globe Op - Investor Services: MOMA.IR@sscinc.com

Disclaimer:

An investment in Asgard Fund ICAV or any of its sub-funds is subject to a variety of risks, and there can be no assurance that the Funds' investment objectives will be met or that the Funds will not incur losses. This document does not constitute an offer of investment advisory services by Asgard Asset Management to the general public or in any jurisdiction where the same would be unlawful. This document does not constitute an offer to sell nor the solicitation of an offer to buy limited partnership or shareholder interests of the Funds. The offering of the Funds' interests is made only pursuant to the Prospectus, Supplements and Application Form, all of which should be read carefully and in their entirety. As with any investment vehicle, past performance cannot assure any level of future results. The information contained herein is intended solely for use by the recipient hereof and is not to be reproduced or distributed to other parties.